



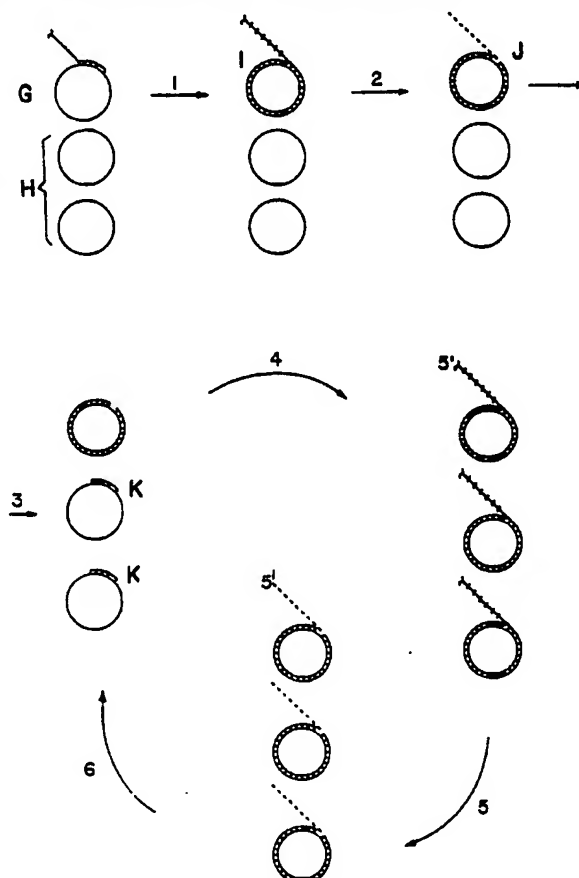
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US91/05067 <b>(22) International Filing Date:</b> 17 July 1991 (17.07.91)  <b>(30) Priority data:</b> 558,645                      25 July 1990 (25.07.90)                      US  <b>(71) Applicant:</b> SYNGENE, INC. [US/US]; 10030 Barnes Canyon Road, San Diego, CA 92121 (US). <b>(72) Inventors:</b> RUTH, Jer L. ; 10267 Avenida Magnifica, San Diego, CA 92131 (US). DRIVER, David, A. ; 5142 Biltmore Street, San Diego, CA 92117 (US). <b>(74) Agents:</b> CAMPBELL, Cathryn et al.; Pretty, Schroeder, Brueggemann & Clark, 444 South Flower Street, Suite 2000, Los Angeles, CA 90071 (US).		<b>(81) Designated States:</b> AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, KR, LU (European patent), NL (European patent), NO, SE (European patent).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

**(54) Title:** CIRCULAR EXTENSION FOR GENERATING MULTIPLE NUCLEIC ACID COMPLEMENTS

**(57) Abstract**

A process for generating multiple linear complements of a single strand, circular nucleic acid template containing at least one cleavage site is described. The process consists of combining the single strand, circular nucleic acid template with polynucleotide primers under conditions sufficient for hybridization; extending the polynucleotide primer more than once around the circle to generate a complementary displacement of more than one contiguous complement of the single strand, circular nucleic acid template. Also described is a process of synthesizing novel single strand, circular nucleic acids between 30 and 2200 nucleotides. The process consists of synthesizing a linear polynucleotide; combining the linear polynucleotide with a complementary linking oligonucleotide under conditions sufficient for hybridization; and ligating the linear polynucleotide to produce a single strand, circular nucleic acid.



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CIRCULAR EXTENSION FOR GENERATING  
MULTIPLE NUCLEIC ACID COMPLEMENTS

BACKGROUND OF THE INVENTION

This invention relates generally to the generation of  
5 multiple complementary copies of a nucleic acid and, more particularly, to the generation of such complementary copies using novel circular templates.

Recombinant DNA techniques have revolutionized  
molecular biology and genetics by permitting the isolation  
10 and characterization of specific DNA fragments. Of major impact has been the exponential amplification of small amounts of DNA by a technique known as the polymerase chain reaction (PCR). The sensitivity, speed and versatility of PCR makes this technique amenable to a wide variety of  
15 applications such as medical diagnostics, human genetics, forensic science and other disciplines of the biological sciences.

PCR is based on the enzymatic amplification of a DNA  
sequence that is flanked by two oligonucleotide primers  
20 which hybridize to opposite strands of the target sequence. The primers are oriented with their 3' ends pointing towards each other. Repeated cycles of heat denaturation of the template, annealing of the primers to their complementary sequences and extension of the annealed  
25 primers with a DNA polymerase result in the amplification of the segment defined by the 5' ends of the PCR primers. Since the extension product of each primer can serve as a template for the other primer, each cycle results in the exponential accumulation of the specific target fragment,  
30 up to several million fold in a few hours. The method can be used with a complex template such as genomic DNA and can amplify a single-copy gene contained therein. It is also capable of amplifying a single molecule of target DNA in a

complex mixture of RNAs or DNAs and can, under some conditions, produce fragments up to ten kbp long. The PCR technology is the subject matter of United States Patent Nos. 4,683,195, 4,800,159, 4,754,065, and 4,683,202 all of which are incorporated herein by reference.

While the possible applications of PCR are numerous, they are limited to those situations where the DNA sequence is known with sufficient specificity to design two primers which hybridize to opposite strands of the target sequence. Moreover, the repetitive cycles of heating and cooling, primer hybridization and polymerization are time consuming and optimally performed on expensive, automated thermocycling devices.

Since the advent of PCR, several additional methods have been devised to amplify the copy number of a target sequence. Such methods employ a variety of strategies to achieve the amplification of a small amount of target sequence; however, all have inherent drawbacks.

The ligation chain reaction (LCR) is one such method. See PCT publication WO 89/12696, which is incorporated herein by reference. LCR uses a ligase enzyme to join preformed stretches of DNA, termed "probes." Once these probes find their complementary target sequences and anneal to them, the ligase joins the target sequences together, setting up a situation analogous to that found in PCR: two templates are formed, both of which can serve in the next cycle to generate more copies of the target sequence.

Q $\beta$  replicase is another alternative for exponentially amplifying a target sequence. This method relies on the ability of the enzyme Q $\beta$  replicase to generate copies of recombinant RNA molecules. Q $\beta$  replicase is an RNA-directed tRNA polymerase of bacteriophage Q $\beta$ . The sequence to be amplified is cloned into a gene coding for a natural

template of Q $\beta$ , such as MDV-1 RNA, and produced as a recombinant RNA. For target amplification, the recombinant RNAs hybridize to complementary DNA and serve as templates for RNA synthesis. See Kramer and Lazard, Nature 339:401-402 (1989), which is incorporated herein by reference.

Transcription-based amplification (TAS) systems have also been developed to amplify the copy number of a target sequence, as described in PCT publication WO 88/10315. The cycle begins with the synthesis of cDNA from a target RNA sequence. TAS employs specialized 5' primers which contain a RNA polymerase promoter sequence. The use of these primers results in a promoter sequence being incorporated into the double-stranded product. Transcription from the promoter results in the production of multiple RNA copies. These copies can then be reprimed for additional rounds of cDNA synthesis and transcription. A disadvantage to this method is that it requires accurate execution of at least three enzymatic steps. Therefore, full length products may be difficult to obtain.

Finally, enhancement of target sequences by priming, extension, restriction and reannealing of a linear fragment containing multiple copies of the target sequence has been described. See Becker et al., EPA No. 0 300 796, which is incorporated herein by reference. Although this method generates additional copies of target sequence with each cycle, it has a major disadvantage in that the template, which consists of multiple copies of the target sequence, is destroyed by restriction at the end of each cycle and cannot be reused. Moreover, multiple copies must be present in each template to provide any increase in copies, and product transcripts are of highly variable length.

Most of the methods described above rely on thermal cyclization for denaturing and reannealing of products and templates. Methods such as the Q $\beta$  replicase technique

additionally require specialized recombinant RNA templates. Moreover, all methods employ linear templates, some of which are specially constructed to contain repetitive copies of the sequence to be amplified.

5        There thus exists a need for a method to generate multiple copies of a target sequence without the use of degenerative or specialized linear templates and without time consuming thermal cyclizations. Such a method would be of critical importance to increasing the efficiency of  
10 medical diagnosis. The present invention satisfies this need through the use of novel circular templates and provides related advantages as well.

#### SUMMARY OF THE INVENTION

The invention provides a process for generating  
15 multiple linear complements of a single strand, circular nucleic acid template containing at least one cleavage site. The process includes combining the single strand, circular nucleic acid template with polynucleotide primers under conditions sufficient for hybridization; and  
20 extending the polynucleotide primer more than once around the circle to generate a complementary displacement of more than one contiguous complement of the single strand, circular nucleic acid template. The invention further provides a process of synthesizing novel single strand,  
25 circular nucleic acids between 30 and 2200 nucleotides, by synthesizing a linear polynucleotide and ligating the linear polynucleotide to produce a single strand, circular nucleic acid.

BRIEF DESCRIPTION OF THE FIGURES

FIGURE 1 is a schematic diagram of a single-stranded circle. A represents a target sequence, 10-1000 bases in length, complementary to the polynucleotide primer; B and C represent sequences, 0-400 bases in length, which are used as detection sequences for circle complement; d-f represent linking sequences of 0-100 bases in length. Any of A-e may contain one or more restriction sites.

FIGURE 2 is a schematic diagram for generating multiple nucleic acid complements. G represents single-stranded circle primed for extension by a target polynucleotide; H represents excess single-stranded circle; I represents G extended by polymerizing enzymes after displacement to form multiple linear concatemers; J represents I cleaved into smaller fragments; K represents H primed by fragments from J; Reaction 1 is extension of primer by polymerizing enzymes; Reaction 2 is cleavage of I to form J; Reaction 3 is reannealing of fragments from J to circles H.

FIGURE 3 is a schematic diagram of constructs for making single-stranded circles. L represents one linear fragment circularized by linker m before ligation; N represents formation of a circle composed of two or more fragments o and p, each 15-1100 bases in length which may be similar or different in sequence; Q represents formation of a circle capable of forming at least one stem structure by ligation of two subunits containing loops r and s; T represents formation of a circle capable of forming at least one stem structure by ligation of a single linear fragment containing loops r and s; U represents formation of a circle capable of forming one or more stem structures with ends which can be ligated; V represents construction of a circle by restricting a stem structure at site w, allowing formation and ligation of the product circle.

DETAILED DESCRIPTION OF THE INVENTION

This invention is directed to a simple, inexpensive and rapid process for generating multiple linear complements from a single strand, circular nucleic acid and to a process for making such novel circular nucleic acids. An important advantage of generating linear complements from single strand, circular nucleic acids is that the need for differential thermal conditions at each step in the process is alleviated. All steps in the process can be performed isothermally which also alleviates the need for expensive thermocycling devices. Thus, the process is applicable to use for detecting nucleic acids in such areas as medical diagnosis and infectious diseases.

In one embodiment, the process for generating multiple linear complements from single strand, circular nucleic acid templates involves combining the template with polynucleotide primers, such as an analyte, in a biological sample. The combining step is performed under conditions sufficient for hybridization so that a primer-template is formed between the primer and a complementary region within the circular template and can be used for polymerase extension. The analyte can be, for example, of viral or bacterial origin and may be DNA or RNA.

Extending the primer-template with a polymerase such as Klenow fragment generates a linear concatemer of the circular template through strand displacement synthesis. The synthesized DNA segment is thereby replaced with a new strand each time the polymerase passes around the circular template to produce a complementary displacement product.

The circular template can contain a cleavage site such as a restriction endonuclease cleavage site. The restriction endonuclease site is incorporated into the complementary displacement product and can be used, when



hybridized to a restriction polynucleotide, to cleave the displacement product into unit length linear complements. The restriction polynucleotides are preferably the single strand, circular nucleic acid templates, wherein the restriction site on the circular template has been modified so as to be refractory to endonuclease cleavage. Modification can be accomplished by methylation, for example.

The linear complements generated by strand displacement synthesis and cleavage can be further used to prime additional circular templates for production of additional linear complements. All components necessary for polymerase extension and cleavage of the displacement product to linear complements remain available for use in additional cycles. In this way, a large number of linear complements can be generated from a very small number of initial polynucleotide primers.

If the polynucleotide primers are, for example, analytes in a biological sample, then the production of linear complements signifies the presence of the analyte. Detection of linear complements or displacement products can be performed by methods known to one skilled in the art. The invention, therefore, may be used for rapid and efficient detection of infectious diseases and diagnoses of genetic disorders.

In another embodiment, the process for making novel circular nucleic acids for use in the process for generating linear complements involves the chemical synthesis of linear polynucleotides and their combination with linking oligonucleotides. The linking oligonucleotides have sequences complementary to the ends of the linear polynucleotides and can be hybridized to the linear polynucleotides. Hybridization of the two types of molecules will bring the 5' and 3' ends of one or more

linear polynucleotide together. Ligating the ends of the linear polynucleotides together produces a single strand, circular nucleic acid.

As used herein, the term "single strand, circular nucleic acid template" or "circular template" refers to a single strand nucleic acid polymer which has been joined through its 5' and 3' ends to produce a circular molecule. These single strand, circular templates include molecules produced by methods disclosed herein for synthesis of small single-stranded circles (between 30 and 750 nucleotides) as well as molecules produced in vivo or in vitro through bacteriophage replication mechanisms. Circular molecules produced entirely through chemical synthesis are included as well. The circular templates can be either deoxyribonucleic or ribonucleic acids.

As used herein, the term "cleavage site" refers to a nucleotide sequence in which the phosphodiester backbone is selectively broken. For example, a nucleotide sequence recognized by a restriction endonuclease is a cleavage site because the enzyme will cut the phosphodiester backbone at selective sites within the sequence. Such cleavage sites may be single or double-stranded, depending on the endonuclease. Also included are chemical cleavage sites such as pyrimidine and purine cleavage reactions performed in Maxam and Gilbert sequencing, or cleavage through chemical methods such as oxidation as described in United States Patent No. 4,795,700, which is incorporated herein by reference.

As used herein, the term "polynucleotide primer" refers to any nucleic acid with a complementary sequence sufficient to hybridize to the single strand, circular template and be used as a substrate for polymerase extension reactions. This term includes an analyte within a mixture of nucleic acids, synthesized oligonucleotides

and linear complements produced from displacement products. RNA as well as DNA primers can be used. The length of the primer can vary so long as the 3' terminal nucleotides form sufficient base pairs to effectively prime the template. Therefore, the term "primer-template" as used herein refers to a primer which is hybridized to a single strand, circular template and can be used in polymerase extension reactions.

As used herein, the term "displacement synthesis" refers to polymerase extension reactions in which one strand of a double-stranded region is displaced by the processivity of the enzyme. Effectively, the strand is peeled away from the template simultaneously with new strand synthesis. Therefore, the term "complementary displacement product" or "displacement product" as used herein refers to the displaced complementary strand which is peeled away from the template as the polymerase proceeds around the circular template.

As used herein, the term "restriction polynucleotide" refers to a nucleic acid, preferably a single-stranded deoxyribonucleic acid, which encodes a restriction endonuclease site so that when hybridized to a complementary strand it forms a functional restriction endonuclease site. The length of the restriction polynucleotides can vary so long as, when in duplex form, the appropriate restriction enzyme will recognize the sequence and cleave the phosphodiester bonds. Restriction polynucleotides may include linear poly- and oligonucleotides as well as circular nucleic acids such as the circular templates described herein.

As used herein, the term "linear complement" refers to the complementary sequence of the single strand, circular template. A linear complement is the extension product from a primer-template substrate and includes concatemers

produced by displacement synthesis as well as individual copies of the circle template.

As used herein, the term "blocked" refers to modifications of an oligo- or polynucleotide which limits the function of the modified group. Such functions may include polymerization of a nucleotide or nucleotides. Blocking groups can include, for example, attachment of chemical moieties, organic polymers and macromolecules to the oligo- or polynucleotide. Also included is the reverse addition of a nucleotide to the oligo- or polynucleotide. Modifications encompass additions to the nucleotide bases, the sugar moieties and the phosphate backbone.

The invention provides a process for generating multiple linear complements of a single strand, circular nucleic acid template containing at least one cleavage site by combining the single strand, circular nucleic acid template with polynucleotide primers under conditions sufficient for hybridization; extending the polynucleotide primer more than once around the circle to generate a complementary displacement product comprising more than one contiguous complement of the single-stranded, circular nucleic acid template; and cleaving the displacement product to generate linear complements of the circle template.

As shown in Figure 2(G), single strand, circular templates are first hybridized to polynucleotide primers. The resultant primer-templates are substrates for polymerase directed synthesis of nucleic acids. For example, if the template is DNA, then any of the known DNA polymerases such as E. coli DNA polymerase I, Klenow fragment, T4 or T7 DNA polymerases, modified polymerases, reverse transcriptase, Taq polymerase, Bst polymerase, and T. flavins polymerase can be used to synthesize a complementary DNA strand. Alternatively, if the template

is RNA, reverse transcriptase can be used to synthesize a complementary DNA strand. The invention provides a process for generating multiple copies of a single strand, circular nucleic acid template using the above polymerases.

5       The invention provides for polynucleotide primers which are selected so as to allow strand displacement synthesis by a polymerase. This displacement can be accomplished in a variety of ways. For example, primers can be chosen which are complementary over their entire  
10 sequence to the circular template. Extension of the primer around the template will result in displacement of the 5' end of the primer by a polymerase. The resultant displacement product is a linear concatemer which is complementary to the circle template as shown in Figure  
15 2(I). If a completely complementary primer is used, then the polymerase selected should preferably be devoid of 5' to 3' exonuclease activity, such as Klenow fragment. This selection prevents nick translation activity and ensures displacement synthesis.

20       Alternatively, polynucleotide primers can be selected in which the 5' end is non-complementary to sequences contained in the circle template, but the 3' end is complementary to contained sequences and hybridizes to the circle template. Extension of this primer-template around  
25 the circle results in displacement synthesis at the juncture of the hybridized and non-hybridized regions of primer.

Polynucleotide primers can also be selected wherein the 5' end of the nucleotide primers are blocked. Blocking  
30 of the 5' end of a primer, as defined above, allows for displacement synthesis. As with the 5' non-complementary primers, a primer modified at its 5' end by, for example, a chemical moiety, will not impede a polymerase's movement, nor will it be recognized by inherent 5' to 3' exonuclease

activity found in most polymerases. Therefore, the invention provides a process for generating multiple complements of a circular template wherein the 5' end of the nucleotide primers are non-complementary to the  
5 circular template or are blocked so as to allow displacement synthesis by a polymerase.

The invention further provides a process of synthesizing single strand, circular nucleic acids containing between 30 and 750 nucleotides by chemically  
10 synthesizing a linear polynucleotide; combining the linear polynucleotide with a complementary linking oligonucleotide under conditions sufficient for hybridization; and ligating the linear polynucleotide to produce a single strand, circular nucleic acid. Ligation can be by enzymatic or  
15 chemical means.

The linear polynucleotides are chemically synthesized by methods known to those skilled in the art (e.g., United States Patent No. 4,500,707, which is incorporated herein by reference). Current chemical synthesis methods may be  
20 used to synthesize linear polynucleotides of up to about 200 bases in length.

To generate a single strand, circular nucleic acid of about 200 nucleotides, a linear polynucleotide of about 200 nucleotides is first synthesized. The 5' and 3' ends of  
25 the linear molecules are brought together to form circular molecules by hybridization to complementary linking oligonucleotides Figure 3(L). The linking oligonucleotides are designed such that the 5' end of the linking oligonucleotide is complementary to the 3' end of  
30 the synthesized linear polynucleotide and the remaining 3' end of the linking oligonucleotide is complementary to the 5' end of the linear polynucleotide. The ends of the linear polynucleotide which have been brought together in this way are covalently joined by ligation. Alternatively,

the use of RNA ligase without the use of linking oligonucleotides may be used to ligate a circle since RNA ligase is able to ligate DNA ends together. Additionally, larger single strand, circular nucleic acids composed of multiple linear polynucleotides of the same sequence may be achieved by varying the concentration of components in the ligation.

The invention also provides for the synthesis of single strand, circular nucleic acids, where the circular nucleic acid is synthesized from two or more linear polynucleotides Figure 3(N). Synthesis of larger, single strand, circular nucleic acid templates can be accomplished, for example, by synthesizing two halves of the circle as linear polynucleotides. For example, to generate a 400 nucleotide circle, two shorter linear molecules whose sum is 400 nucleotides are first synthesized.

The linear molecules are joined together to create a circular molecule in the same fashion as that described above. Complementary linking oligonucleotides are designed to bring the 5' end of one linear molecule and the 3' end of the second linear molecule together. For example, to bring together two linear molecules which contain different terminal sequences at each of the four ends, two linking oligonucleotides are needed. One should be complementary to the 5' end of the first linear molecule and to the 3' end of the second molecule. The second linking oligonucleotide should therefore be complementary to the 3' end of the first molecule and to the 5' end of the second. If the 5' and 3' ends of one linear molecule are identical or similar in sequence to the 5' and 3' ends, respectively of the second linear molecule, then only a single complementary oligonucleotide may be used. Hybridization of the complementary oligonucleotides to the linear molecules will bring together the four ends of the

molecules to form a non-covalently closed circle. The phosphodiester backbone can be ligated together to form covalently closed, single-stranded circles. In a similar manner, circles composed of three or more linear polynucleotide precursors can be produced. Additionally, alternative strategies for ligation of linear polynucleotides into circles may be employed and are shown in Figure 3. Therefore, the invention also provides for novel single strand, circular nucleic acid templates, wherein the template comprises between 30 and 750 nucleotides and is made from chemically synthesized oligo- or polynucleotides.

The single strand, circular nucleic acid templates of the present invention can also be produced by a variety of other methods well known to those skilled in the art. For example, single strand circular templates can be derived through in vivo bacteriophage replication as described in Sambrook et al., Molecular Cloning: A Laboratory Manual, Cold Spring Harbor Laboratory, (1989); Ausubel et al., Current Protocols in Molecular Biology, John Wiley and Sons, (1987); and Short et al., Nucleic Acids Research 16:7583-7600 (1988), all of which are incorporated herein by reference. Alternatively, in vitro replication methods can be employed such as those described by Shavitt and Liuneh, J., Bacteriology, 171:3530-3538 (1989), which is incorporated herein by reference. The sequence to be amplified can be cloned into a variety of available phage vectors, such as pBluescript™ (Stratagene Cloning Systems, La Jolla, CA) or vectors can be constructed such that only pertinent sequences remain. The invention provides a process to generate multiple complements of a circular template, wherein the single strand, circular nucleic acid template is derived from phage replication.

Alternatively, circular nucleic acid templates can be generated by in vitro polymerase extension reactions. For



example, sequences of preferably between 50 and 2200 nucleotides to be transformed into a circular template are first synthesized as a double-stranded duplex by priming a linear template and polymerase extension. Defined ends of  
5 linear duplex can be produced by digesting with restriction endonucleases known to cut at preselected sequences or by using preselected primers in polymerase chain reaction synthesis. The linear extension products can be circularized as a single-stranded molecule by first  
10 denaturing the duplex and hybridizing it to a oligonucleotide complementary to each end of the linear template. Treatment of this structure with ligase results in a single strand, circular template. Any linear molecules can be digested with DNases (such as Exo VII) to  
15 remove any residual linear fragments, including the complementary strand which does not ligate. Therefore, the invention provides a process to generate multiple complements of a circular template wherein the circular template is between 50 and 2200 nucleotides. Also provided  
20 are novel single strand, circular nucleic acid templates wherein the template is between 50 and 220 nucleotides.

Synthesis of circular nucleic acids can be accomplished using a combination of the methods described above as well as in conjunction with methods known in the  
25 art. For example, methodology using bifunctional phosphorylating reagents as described by Capobianco et al., Nucleic Acids Research 18:2661-2669 (1990), which is incorporated herein by reference, can be employed for the synthesis of circular templates. This methodology is  
30 amenable to synthesis and circularization of nucleotides longer than four bases in length or can be used in conjunction with conventional phosphoramidite methodology to cyclize longer molecules such as between 50 and 750 nucleotides in length for chemically synthesized linear  
35 polynucleotides. Thus, the invention additionally provides a process to generate multiple copies of a single strand,

circular template, wherein the template is chemically synthesized and is between 30 and 750 nucleotides.

In another aspect, the invention provides methods of characterizing small single-stranded circles 50-2200 bases in length, as are produced by any of the above methods. Circularization of linear fragments produces nucleic acid products which migrate as bands with shifted electrophoretic mobilities, usually slower than the linear fragment. Such circles can be distinguished from the initial linear fragment(s) or from other linear by-products as follows: 1) by mobility shift relative to markers; 2) circles do not enzymatically degrade when treated with Exo VII (which requires free 3' or 5' ends); 3) circles can be primed and primers can be extended past the site of ligation; and 4) when annealed with unique restriction polynucleotides at a site other than the ligation site and restricted with the appropriate endonuclease, circles form only one full-length linear fragment. Any non-circular fragments, including the linkers used in producing the circle, will be degraded by Exo VII, cannot extend past the linear terminus and will produce at least two fragments when restricted.

The invention provides for a single strand, circular template which has at least one cleavage site. The cleavage sites are used, for example, to reduce the concatemeric displacement product down to individual linear complements. These individual complements correspond to a whole or partial single copy of the circle template. Hybridization of a restriction polynucleotide or a single-stranded circle to the complementary displacement product produces a local duplex region which is the length of the restriction primer. The duplexed region is a substrate for endonucleolytic cleavage when treated with the appropriate endonuclease (i.e., the endonuclease which recognizes the encoded restriction site). Since the cleavage site is

encoded at a predetermined location, restriction of the site produces linear complements of defined length and sequence.

The invention also provides for a process to generate  
5 multiple complements of a single strand, circular template where the template has a restriction endonuclease site which is capable of being modified so as to inhibit restriction when hybridized in duplex form. For example, if the restriction endonuclease activity can be blocked  
10 with modified bases, then the appropriate restriction endonuclease will not cleave the DNA when one or both of the strands are modified. For example, endonucleases such as Hpa II, Hae II, Hae III and BstU1 are known not to restrict at methylated sites. A particular use for this  
15 embodiment is depicted in Figure 2(J). Restriction of the displacement product down to individual linear complements as described above will also restrict the circle template to a linear form unless the site on the template is refractory to digestion. For example, modification of a  
20 Hpa II site on the circle template by methylation as described by Nelson and McClelland, Nucleic Acids Research (1987), 15:219-230, and incorporated herein by reference, inhibits digestion of the hemi-methylated DNA and therefore preserves the structure of the circular template.  
25 Preferably, the circular templates are methylated prior to initial hybridization with the polynucleotide primers by incorporation of modified bases such as 5-methylcytosine or methyladenosine into the linear polynucleotide during chemical synthesis as described by Ono and Ueda, Nucleic  
30 Acids Res. (1987) 15:219-232, which is incorporated herein by reference. The circle can also be methylated by a variety of other methods known to one skilled in the art. Such methods include, for example, the use of specific methylase enzymes, such as Hpa II methylase, to methylate  
35 sites in the circle. Therefore, the invention additionally provides a process to generate multiple complements of a

circular template wherein the restriction enzyme site on the template is modified by methylation. When it is not known if restriction enzyme activity can be blocked, simple procedures using oligonucleotide duplexes in solution can easily ascertain how much enzyme activity is reduced by site modification.

Restriction of the displacement product can be accomplished subsequent to, or preferably be performed simultaneously with, primer extension and displacement synthesis. In the former case, restriction endonucleases can be added at a later time. Displacement synthesis can even be stopped, for example, by heat denaturation of the polymerase or by organic extraction of the reaction. Restriction polynucleotides are hybridized to the displacement product followed by digested with the appropriate restriction endonuclease. In the latter case, restriction polynucleotides and restriction endonucleases are included in the extension reactions. As the displacement product is produced, the restriction polynucleotides hybridize to the product and are concomitantly digested by the endonuclease (shown in Figure 2(J)).

During simultaneous synthesis and restriction, if extension products resulting from primer extension of the hybridized restriction polynucleotides are undesirable, then restriction polynucleotides can be selected which cannot be used as substrates by a polymerase. These polynucleotides can be either the circular templates described herein which do not have free 3' terminal nucleotides for polymerization or linear molecules which are not substrates for polymerization. For example, polymerase recognition of a primer-template requires that about three terminal nucleotides of the primer be correctly hybridized to the template (Kornberg, A., DNA REPLICATION, W.H. Freeman and Company (1980), which is incorporated

herein by reference). Therefore, the use of linear restriction polynucleotides which have non-complementary 3' terminal nucleotides will inefficiently promote polymerase extension reactions. Alternatively, the 3' end of the  
5 restriction polynucleotide can be chemically blocked to prevent polymerase extension. Addition of a blocking group such as chemical modification using a 2',3'-dideoxy nucleoside at the 3' end, reversing the orientation of the terminal nucleotide or covalently linking a non-hydroxy  
10 moiety such as an alkylamine will also allow the simultaneous synthesis and restriction of displacement product without resulting in extension products off of the restriction polynucleotides. Thus, the invention provides a process to generate multiple complements of a circular  
15 template where the restriction polynucleotides are deoxyribonucleic acids and the 3' end is inhibitory to primer extension by a polymerase.

The restriction polynucleotides can be further selected so that, once restricted, a fragment of the  
20 restriction polynucleotide remains hybridized to the 5'-end of the displacement product. The length of the end of the polynucleotide from the restriction site necessary to retain hybridization under various conditions and temperatures once restricted is known to one skilled in the  
25 art, or can be determined without undue experimentation. These procedures are described in detail in Ausubel et al., *ibid.* Restriction polynucleotides selected in this way allow the linear complements produced after digestion to be used either directly or indirectly as a polynucleotide  
30 primer for further strand displacement synthesis. The hybridized portion of the restriction polynucleotide to the 5' end of the linear complement functions in an analogous fashion as the non-complementary or blocked polynucleotide primers described above and therefore allows further  
35 hybridization to circular templates and polymerization.

The restriction polynucleotides can be further selected so that, once restricted, the fragment hybridized to the 3' end of the linear complement is unstable and does not remain hybridized. This restriction generates a  
5 single-stranded 3' end of the linear complement which is available to be recognized as a polymerase substrate when hybridized to a circular template. Again, polynucleotide lengths which are unstable under various conditions and temperatures are known or can be determined by one of  
10 ordinary skill in the art. For example, at 37°C, which is the optimal temperature for a polymerase, a restriction primer which results in hybridization of only about four nucleotides once it is digested will be unstable. Additionally, if modified circular templates are used as  
15 restriction primers, the digested 3' end of the linear complements are already hybridized to the circular templates and can be extended without rehybridization to a circular template. The use of circular templates in this way is advantageous if restriction of the circle is  
20 blocked. Thus, the invention provides for direct hybridization and primer-template formation between linear complements and single strand, circular template.

The invention also provides a process for generating multiple linear complements of a single strand, circular  
25 nucleic acid template containing at least one cleavage site by: (a) combining the single strand, circular nucleic acid template with polynucleotide primers under conditions sufficient for hybridization; (b) extending the polynucleotide primer more than once around the circle to  
30 generate a complementary displacement product comprising more than one contiguous complement of the single strand, circular nucleic acid template; (c) cleaving the displacement product to generate linear complements of the circle template; (d) combining the single strand, circular  
35 template of step (a) with the linear complements produced in step (c) under conditions sufficient for hybridization;

(e) repeating steps (b) through (d) at least once to generate linear complements of the circle template.

By subsequent hybridization, or preferably simultaneous hybridization, of the initially produced  
5 linear complements, the products of more than one cycle (steps a through c above which correspond to Figure 2, steps G through J) of linear complements can be generated. Figure 2(K, parts 3-6) shows a schematic diagram depicting the hybridization of linear complements to single strand,  
10 circular templates and generation of additional displacement products and linear complements. Thus, repetitive cycles of priming, strand displacement synthesis, cleaving of the linear complements and repriming with linear complement exponentially amplifies the copy  
15 number of the initial polynucleotide primer. Generation of multiple complements of the circle template indicates the presence of the initial polynucleotide primer.

The invention also provides a process for generating multiple linear complements of a single strand, circular  
20 template where all steps are carried out under isothermal conditions. Using the preferred embodiments of the present invention, all reactions including, for example, hybridization of polynucleotide primers, restriction polynucleotides and linear complement, strand displacement  
25 synthesis and restriction endonuclease digestion of displacement products can be performed at the same temperature. Preferably this temperature is between about 25° and 70°C, more preferably between about 37°C to 50°C. Isothermal conditions allow multiple and overlapping steps  
30 in the cycle to be performed rapidly and simultaneously.

Alternatively, the single strand, circular template can be denatured by heat prior to or during hybridization with linear complement. Heat denaturation ensures the availability of all templates for subsequent rounds of

synthesis. Linear complements, once restricted, can also be denatured by heat prior to or during hybridization with circular template, ensuring the complete removal of the restriction polynucleotide for efficient primer-template  
5 hybridization.

The invention utilizes synthetic polynucleotide primers as well as synthetic restriction primers. Chemical synthesis of polynucleotide primers is well known to those skilled in the art. Methods disclosed herein for the  
10 synthesis of linear polynucleotides, such as phosphoramidite nucleotide chemistry, are routinely performed on automated synthesizers using protocols and reagents suggested by the manufacturers.

The invention further provides a process for  
15 generating multiple linear complements of a circular template where the polynucleotide primers comprise a mixture of double and/or single-stranded nucleic acids. For example, the presence of a nucleic acid analyte within a biological sample can be determined by the processes  
20 disclosed herein. The analyte can be a nucleic acid from an infectious organism such as a virus or bacteria. The analyte can also be nucleic acid derived from a host organism such as a human. The detection of nucleic acids derived from almost any source allows the invention to be  
25 applicable in a large variety of clinical settings. Many infectious diseases and genetic disorders can be easily diagnosed using the invention.

Nucleic acids to be analyzed for such diseases and disorders can be isolated from the appropriate tissue or  
30 body fluid of an organism and used as polynucleotide primers in the generation of linear complements. If the analyte is RNA, the appropriate polynucleotide primers and their 3' ends for polymerase extension can be generated by first and second strand cDNA synthesis using preselected



first or second strand primers. If the analyte is DNA, the appropriate 3' ends can be generated for primer extension by digesting the DNA with a preselected restriction enzyme. Accordingly, any nucleic acid analyte can be used, or can  
5 be generated using ordinary skills in the art, so long as the 3' terminal nucleotides are sufficiently complementary to the circular template to form a primer-template.

In the process of the present invention, any 3'-ends of nucleic acids which are significantly complementary to  
10 the single-stranded circular nucleic acid may initiate synthesis of displacement products and linear complements. If such nucleic acids are not the desired polynucleotide primer, such as an analyte target sequence, generation of non-specific background (false positive signal) may be  
15 produced. To decrease any non-specific background, the mixture of nucleic acids containing target sequences may be treated with nucleic acids complementary to some portion of the target. Such complementary nucleic acids or catcher nucleic acids may be immobilized on solid supports, such as  
20 beads or membranes, or contain a ligand, for example, biotin, which can be used to remove the hybridized catcher and target sequences from solution. After removal of any non-hybridized nucleic acids, the target fragment may now be used to prime the single-stranded, circular nucleic  
25 acid. Such affinity selection of target polynucleotide primer greatly reduces non-specific backgrounds.

If double-stranded nucleic acids, such as DNA or cDNA, are used or have been generated to use as polynucleotide primers, the strands are separated by denaturation prior to  
30 hybridization with the circular templates. Heat or alkaline denaturation are two common procedures for the separation of nucleic acid strands but a variety of other methods are known to one skilled in the art which can also be used. Detection of the analyte in the form of  
35 intermediary displacement products or in the form of linear

complements can be performed using standard fluorescent staining or hybridization techniques known to one skilled in the art. The invention also provides a process for detecting multiple linear complements of a single strand, 5 circular nucleic acid template containing at least one cleavage site by the steps of: (a) combining the single strand, circular nucleic acid template with polynucleotide primers under conditions sufficient for hybridization; b) extending the polynucleotide primer more than once around 10 the circle to generate a complementary displacement product comprising more than one contiguous complement of the single strand, circular nucleic acid template; (c) cleaving the displacement product to generate linear complements of the circle template; (d) combining the single strand, 15 circular template of step (a) with the linear complements produced in step (c) under conditions sufficient for hybridization; (e) repeating steps (b) through (d) at least once to generate linear complements of the circle template; and (g) detecting the linear complements.

20 The invention further provides products such as DNA, RNA, polypeptides and antibodies produced from either the displacement products, displacement products hybridized to restriction polynucleotides, linear complements or linear complements hybridized to restriction polynucleotides. 25 These nucleic acids products, or nucleic acids encoding these products, can be cloned into a vector for propagation in either encaryotic or procaryotic organisms using ordinary skills in the art. The choice of the vector will depend on the organism in which the nucleic acid will be 30 propagated. Additionally, the cloned products can be expressed in vivo for polypeptide production (using expression vectors) or they can be transcribed and (using transcription vectors) and translated in vitro to produce recombinant polypeptides. Such recombinant polypeptides 35 derived by in vivo or in vitro methods can further be used to generate monoclonal or polyclonal antibodies as

described by Harlow and Lane, Antibodies: A Laboratory Manual, Cold Spring Harbor Laboratory, 1988, which is incorporated herein by reference.

- 5       The following examples are intended to illustrate but not limit the invention.

### EXAMPLES

Circular M13mp18DNA (single or double stranded) was purchased from U.S. Biochemical (Cleveland, OH). All  
10 synthetic oligonucleotides were made using standard phosphoramidite chemistry on an Applied Biosystems 380A DNA synthesizer (Applied Biosystems, Foster City CA). Purifications of DMT-on oligonucleotides was by "DMTon" reverse phase HPLC using 0.3 x 15 cm C-8 (3 $\mu$ ) columns  
15 eluting 5 to 30% acetonitrile in 100 mM triethyl-ammonium acetate at 1.0 ml/min. Phosphoramidites of 5-methyl-2' deoxycytidine and 5'- phosphorylating reagent were purchased from Glen Research, Herdon, VA. Where indicated, oligonucleotide linkers are synthesized with a blocked 3'-  
20 end by synthesis on Branched Modifier Icaa-CPG (Glen Research), which results in oligomers with alkylamines on the 3'-hydroxyl. Size estimation of circles and extension products were based on mobility by agarose (0.5-4%) or polyacrylamide (4-20%) gel electrophoresis; size markers  
25 were either Hae III restricted double-stranded Phix174 or Hae III-restricted single-stranded M13 DNA. Total nucleic acid synthesis was quantitated by ionic adsorption of nucleic acid onto DE-81 disks after incorporation of <sup>32</sup>P-dATP or <sup>32</sup>P-dCTP using the method as described in Molecular  
30 Cloning: A Laboratory Manual, volume 3, 1988 Sambrook et al, eds, p. E18-E19. The number of primers extended in a reaction was quantitated by using <sup>32</sup>P-end labeled primers of known specific activity.

The average number of complements produced from a

primed single stranded DNA circle was determined as follows: the circular single stranded DNA is primed by addition of a ten fold molar excess of complementary primer. A primer extension reaction was carried out on the bound primer in the presence of alpha-<sup>32</sup>P-dATP of known specific activity. The total amount of <sup>32</sup>P incorporated into circle complement was measured by spotting 2-5 μL of the reaction mixture onto Whatman DE81 ion exchange paper. The DE81 paper was washed five times in 0.3M NaPO<sub>4</sub>, pH 7.0 for 5 minutes per wash to remove unincorporated <sup>32</sup>P-dATP. The DE81 paper was counted by scintillation counting. The number of adenosine residues per circle complement and the circle concentration was known; allowing calculation of specific activity per circle complement and the number of circle complements produced per circle.

#### EXAMPLE I

##### Extension of a 7300 Base Pair M13 Single-Stranded Circle to Produce Multiple Copies

This example illustrates the ability of DNA polymerases to displace complement strands produced after extension and the ability of polymerases to incorporate several hundred bases per minute.

A 19 μl reaction volume contained 50 nM M13 model primer (sequence: 5'-dGGTTTCCAGTCACGACG) and 5 nM M13mp18 single-stranded circular DNA (U.S. Biochemical, Cleveland, OH) in Extension Buffer (10 mM Tris, pH 7.4, 10 mM MgCl<sub>2</sub>, 1 mM dithiothreitol (DTT)) containing 200 μM dGTP, dCTP, dTTP, and alpha-<sup>32</sup>P-dATP (6 μCi/μmol). The extension was initiated by addition of 1 μl containing 2 units of DNA polymerase Klenow fragment (BRL, Gaithersburg, MD). Negative controls consisted of either no primer added or no enzyme added. The extension was incubated for one hour at either 37° or 50°C. Total DNA synthesis was determined by spotting 2 μl aliquots on DE81 anion exchange paper disks (Whatman, Clifton, NJ), and washed three times in 0.5 M

sodium phosphate, pH 7.3. Aliquots of 2-5  $\mu$ l were also analyzed by agarose gel electrophoresis followed by autoradiography on XAR film (Kodak, Rochester, NY) to estimate average size of fragments produced by the extension. The results indicated that the average size of circle complement produced was greater than 25,000 bases, or an extension rate of more than 417 bases per minute. This represents more than 18,000 bases of displacement.

## EXAMPLE II

10

Construction of a 682-Base  
Single-Stranded Circle Based on M13

This example illustrates the construction of a medium size single-stranded circle using recombinant enzymatic methods.

15 One milligram of single-stranded M13mp18 (U.S. Biochemical, Cleveland, OH) was ethanol precipitated from 1500  $\mu$ l total volume containing 300 mM sodium acetate, pH 5.4, and pelleted by centrifugation. The supernatant was removed, and the pellet washed with 70% ethanol and dried  
20 under vacuum. The DNA was redissolved in 500  $\mu$ l water. A portion of the solution (90  $\mu$ l, 180  $\mu$ g of DNA = 76 pmols) was treated in the following manner: 1.5  $\mu$ l (750 pmols) of each of two restriction site oligonucleotides were added (500  $\mu$ M Bgl II/M13 restriction site oligonucleotide  
25 sequence: 5'-dTGAGAGATCTACAAAGGCTATCAGGTCATTGCCT; AND 10  $\mu$ M Bam HI/M13 restriction oligonucleotide, sequence 5'-dTGCATGCCTGCAGGTCGACTCTAGAGGATCCCCG); 15  $\mu$ l of 10x Universal buffer (Stratagene Cloning Systems, La Jolla, CA) plus 27  $\mu$ l H<sub>2</sub>O was added. The mixture was heated to 65°C  
30 for 3 minutes, then cooled on ice for 5 minutes. 7.5  $\mu$ l of Bam HI restriction endonuclease (Boehringer Mannheim, Indianapolis, IN) and 7.5  $\mu$ l of Bgl II restriction endonuclease (Boehringer Mannheim, Indianapolis, IN) was added and the mixture was incubated at 37°C for three  
35 hours.

After incubation, the digest was checked for completion on a 1.5% agarose mini-gel with approximately 0.5  $\mu$ g of the digested DNA. A preparative 1.5% agarose (FMC SeaKem GTG, Rockland, ME)/TAE was prepared with marker  
5 lanes. Agarose gel loading dyes (15.0  $\mu$ l) were added to the digest. Ten  $\mu$ l of this preparation were loaded in the marker lane and the remainder in the preparative well. The sample was electrophoresed in TAE buffer for 1.5 hours at 25 mA.

- 10 The marker lane was cut from the gel and stained with ethidium bromide in water at a concentration of 0.5  $\mu$ g/ml. The 682 bp fragment was located under UV light and then excised from the corresponding location on the gel.

- The gel slice was loaded onto a Schleicher & Schuell  
15 Elutrap (Schleicher & Schuell, Keene, NH) and electroeluted in 1x TAE buffer for 4 hours at 130 V. The eluate was recovered and butanol extracted 4 times, Phenol/chloroform/isoamyl alcohol extracted twice and chloroform/isoamyl alcohol extracted one time. One tenth  
20 volume of 3 M sodium acetate pH 5.4 and 2.5 volumes of 100% ethanol were added and the preparation was incubated in an ethanol/dry ice bath for 20 minutes. The preparation was then centrifuged in a microfuge for 30 minutes at room temperature at 14,000 X G. The supernate was discarded,  
25 0.75 ml of 70% ethanol was added to wash the pellet and the preparation was then re-centrifuged for 5 minutes under the same conditions. Ethanol was removed and the pellet was dried under vacuum for 3 minutes.

- The dried pellet was redissolved in 100  $\mu$ l of H<sub>2</sub>O and  
30 loaded onto a Centricon 100 (Amicon, Danvers, ME). Two mls of 50 mM NaOH/1 mM EDTA were added and the preparation was spun in a fixed angle rotor, (Model SS34; Dupont-Sorvall, Wilmington, DE) centrifuged for 45 minutes at room

temperature at 2400 rpm. The above steps were repeated with an additional two mls of NaOH/EDTA. One ml of TE 10:1 pH 8.0 (10 mM Tris pH 8.0, 1 mM EDTA) was added and centrifuged as above.

- 5 Circularization of the 682 bp fragment was performed as follows: The retentate was recovered from Centricon 100 filter apparatus at a total volume of 38  $\mu$ l. Forty  $\mu$ l of 5X ligase buffer (BRL, Gaithersburg, MD) were added. A linker molecule to span both ends of the linear 682 bp  
10 fragment was synthesized as described above. The synthesized linker molecule, designated M13/682 ligation linker, had the following sequence: 5'dCGACTCTAGAGGATCTACAAAGGCTATCAGCAA. One hundred and seven  $\mu$ l of H<sub>2</sub>O were added and the preparation was incubated  
15 at 65°C for 5 minutes, followed by flash cooling on ice for 5 minutes. Three  $\mu$ l of T4 DNA Ligase (BRL, Gaithersburg, MD) containing 1U/ $\mu$ l were added and the preparation was incubated at 16°C for 1 hour. Following incubation, two additional  $\mu$ ls of linker were added. The preparation was  
20 incubated at 65°C for 5 minutes, cooled on ice 5 minutes, and then three  $\mu$ ls of ligase were added followed by a 1 hour incubation at 16°C. Two additional  $\mu$ ls of linker were added, followed by another 65°C incubation, rapid cooling on ice for 5 minutes. Three more  $\mu$ ls of ligase were added  
25 and reaction was incubated at 16°C overnight.

### EXAMPLE III

#### Construction of a Synthetic 72-Base Single-Stranded Circle with Protected Restriction Sites and a Single Core Sequence

- 30 This example illustrates the construction of a small single-stranded circle using oligonucleotide synthesis followed by enzymatic ligation to form the circle.

A 72 base DNA oligomer with a 5'-phosphate ( sequence:  
5'-p-d CTTTCACGTTTATCATCTGACTATCCTGTAATAAAGATCAATGCGT<sup>3'</sup>C<sup>5'</sup>

GGTCTACATAGTCTCTAAAATTAG) was synthesized on an Applied Biosystems 380A DNA synthesizer (Applied Biosystems, Foster City, CA) using standard phosphoramidite chemistry. Both deoxycytidines in the HpaII restriction site (CCGG) contained within the 72 base DNA oligomer were replaced with 5-methyldeoxycytidine to prevent cleavage of the oligomer by Hpa II. A 33 base linker sequence that has 15 bases complementary to each end of the 72 base oligomer was also synthesized. The 3' end of the linker has 3 noncomplementary bases and a blocked 3' end to prevent the linker from extending in a polymerase reaction. This oligomer was used to bring the 5' and 3' ends of the 72 base oligomer together to allow for enzymatic ligation.

The 72 base oligomer was enzymatically ligated in a 1mL reaction volume containing 10uM of 72 base oligomer, 5uM of 33 base linker oligomer, 40mM Tris-Cl pH 7.5, 10mM MgCl<sub>2</sub>, 10mM dithiothreitol, and 1mM ATP. The reaction mixture was incubated for 5 minutes at 50°C then cooled to room temperature. 40,000 units of T4 DNA Ligase (New England Biolabs, Beverly, MA) was added to the reaction mixture. The reaction mixture was incubated for 2 hours at room temperature. The linker concentration was brought to 10uM and the reaction mixture was incubated an additional 16 hours at room temperature. The linker concentration was increased to 15uM and the reaction mixture was incubated an additional 2 hours at room temperature. The reaction mixture was electrophoresed at 1000V for 4 hours in a 10% polyacrylamide/8M urea gel in 1xTBE. The slower migrating circular 72mer product was identified by UV shadowing, electroeluted, ethanol precipitated and quantitated by optical density at 260nm. The circular 72mer was resistant to degradation by Exo VII, and could be primer extended past the ligation site.



## EXAMPLE IV

Construction of a Synthetic 101-Base  
Single-Stranded Circle with Protected  
Restriction Sites and a Single Core Sequence

5        A synthetic 101mer oligomer (sequence: 5'-  
pdGATTAAAATCTCTGACCCGAGCCGATGACTTACTGGC<sup>a</sup>CG<sup>a</sup>CGTT<sup>a</sup>CGAA<sup>a</sup>C<sup>a</sup>CGGA  
AAGCTCCCTGGAGTCCGATCTTCCTGAGGCCGATCTGATAGGACATTAT) was  
synthesized as in Example III. The underlined portion  
designates the sequence which is complementary to the 33  
10 base linker described below. The 101mer oligomer contained  
blocked restriction sites for the restriction endonucleases  
Hpa II (CCGG), Bst B1 (TCGAA), and Bst U1 (CGCG). All the  
deoxycytosines in the restriction sites of these  
restriction endonucleases were replaced with 5-  
15 methyldeoxycytosines to prevent restriction cleavage. A 33  
base linker (sequence: 5'-  
dTCAGAGATTTTAATCATAATGTCCTATCAGTAG) that has 15 bases  
complementary to each end of the 101 base oligomer was also  
synthesized. The 3' end of the linker has 3  
20 noncomplementary bases and a 3' amine to prevent the linker  
from extending in a polymerase reaction. This oligomer was  
used to bring the 5' and 3' ends of the 101 base oligomer  
together to allow for enzymatic ligation. The 101 base  
oligomer was enzymatically ligated and purified as in  
25 Example III. The circular 101mer was resistant to  
degradation by Exo VII and could be primer extended past  
the site of ligation.

## EXAMPLE V

30        Construction of a Synthetic 202-Base  
Single-Stranded Circle with Protected  
Restriction Sites and a Repeated Core Sequence

This example illustrates the construction of a small  
single-stranded circle composed of two core sequences with  
unique ends enzymatically ligated to form the circle.

35        A synthetic 101 base oligomer (sequence: 5'-

pdTTCTAGTTACGCAGGCCCCGAGCCGATGACTTACTGGCCGCGTTCGAACCGGAAAG  
 CTGGCTGGAGTGGCAGTCTTCCTGAGGCCGATACTATTGCACTTTC) was  
 synthesized as in Example III with the same 71 base core  
 sequence but with different 15 base linker sequences on  
 5 each end of the 101 base oligomer. The different linker  
 sequences, which are underlined, allow for specific  
 ligation between the 101 base oligomer shown above and the  
 101 base oligomer from Example IV. Two 34 base linkers  
 (sequences: (1) 5'-dCCTGCGTAACTAGAAATAATGTCCTATCAGTTTT-  
 10 NH<sub>2</sub> and (2) 5'-dTCAGAGATTTTAATCGAAAGTGCAAATAGTCCCC-NH<sub>2</sub>)  
 that have 15 bases complementary to one end of each 101  
 base oligomer were also synthesized. The 3' end of the  
 linkers have 4 noncomplementary bases and a 3' amine to  
 prevent the linkers from extending in a polymerase  
 15 reaction. Each linker was used to bring the 5' end of one  
 101 base oligomer and 3' end of the other 101 base oligomer  
 together to allow for enzymatic ligation.

The 101 base oligomers were enzymatically ligated and  
 purified into a 202 base oligomer as in Example III except  
 20 that each 101 base oligomer was at 0.6  $\mu$ M and the first  
 linker was at 0.15  $\mu$ M starting concentration and increased  
 to 0.75  $\mu$ M. The 101 base oligomers were also gel purified  
 before ligation instead of after ligation. The 202 base  
 oligomer was then ligated into a circle as in Example III  
 25 except the 202 base oligomer was at 0.3  $\mu$ M and the second  
 linker was at 0.15  $\mu$ M starting concentration and increased  
 to 1.2  $\mu$ M.

#### EXAMPLE VI

##### Extension of a 682-Base Single-Stranded Circle

30 This example illustrates the ability of DNA  
 polymerases to displace complement strands produced after  
 extension, and the ability of polymerases to incorporate  
 several hundred bases per minute into a medium-sized  
 single-stranded circle. A primer extension reaction was

carried out and extension products determined as described in Example I. Extension reactions for the circular 682 bp single strand, nucleic acid were performed using free nucleotides, a DNA Polymerase (BRL, Gaithersburg, MD), a synthetic  $^{32}\text{P}$  labeled primer fragment (5000 cpm/fmole) and T4 Polynucleotide Kinase (New England Biolabs, Beverly, MA). The primer sequence was 5'TGAACGGTAATCGTAAACTAGCATGTCAATCATATGTACCCCGGTTGAT3'. Reactions were performed in 20  $\mu\text{l}$  volumes with a 10:1 molar ratio of primer to circle, dithiothreitol (DTT) at a final concentration of 5 mM, free dNTP's (Pharmacia, Pleasant Hill, CA) at a final concentration of 200  $\mu\text{M}$ , Klenow DNA polymerase (Boehringer Mannheim, Indianapolis, IN), and 10X extension buffer. Reactions were incubated at 37°C for one hour. Three and a half  $\mu\text{ls}$  of 6X alkaline gel loading buffer were added to the reactions and then electrophoresis was carried out in a 0.7% alkaline agarose gel for 16 hours at 150 mA. The gel was neutralized and stained as described above and then exposed on Kodak X-OMAT AR film (Kodak, Rochester, NY) at room temperature with a screen for two hours to analyze extension products. The average size of circle complement produced using a 682-base single-stranded circle was greater than 20,000 bases.

#### EXAMPLE VII

##### Extension of a 72-Base Circle

A primer extension reaction was carried out as in Example I except a different primer was used (sequence: 5'-dACAAGGACCAAAAGAACCTTTTAGAGACTATGTAGAC). The 19 bases on the 3' end are complementary to the 72 base circle but the 18 bases on the 5' end are not complementary to the circle. The average size of circle complement produced using a 72-base single-stranded circle was 150 to 250 bases.

## EXAMPLE VIII

Extension of a 7300-Base Single-Stranded Circle, and Restriction to Form Secondary Primers

This example illustrates the ability of Sau 3A  
5 endonuclease to restrict the amplified product of a 7300-  
base single-stranded circle to generate linear complements  
for use as secondary primers in the next cycle of extension  
reaction.

A 19  $\mu$ l reaction volume contained 50 nM M13mp18  
10 single-stranded circle (U.S. Biochemical, Cleveland, OH)  
and 5 nM M13 primer (100 fmole) in extension buffer (20 mM  
Tris pH 7.5, 10 mM  $MgCl_2$ , 25 mM NaCl, 6.5 mM DTT) containing  
200  $\mu$ M dGTP, dCTP, dATP, dTTP and 0.33  $\mu$ M of alpha  $^{32}P$ -  
dATP. The final specific activity of  $^{32}P$ -dATP was 9,000  
15 cpm/pmole. The extension was initiated by addition of 1  $\mu$ l  
of DNA polymerase Klenow fragment (BRL, Gaithersburg, MD,  
6 units/ $\mu$ l) for 1 hour at 50°C. 2  $\mu$ l of 8 units/ $\mu$ l Sau3A  
I enzyme (BRL, Gaithersburg, MD) were added to the 20  $\mu$ l  
reaction mix and allowed to digest for 1 hour at 37°C. 4  
20  $\mu$ l of the 20  $\mu$ l reaction mix was transferred into a new  
tube and denatured for 2 minutes at 100°C in a heat block  
(silicon oil/sand or boiling water), followed by chilling  
in a dry ice bath for 1 minute. 16  $\mu$ l of new reaction mix  
containing 40 nM M13mp18 single-stranded circle and the  
25 same concentration of extension buffer mentioned above was  
added to the 4  $\mu$ l chilled sample. 1  $\mu$ l of DNA polymerase  
Klenow fragment was added and the extension reaction was  
carried out for 1 hour at 50°C. The  
restriction/denaturation/extension cycles were repeated  
30 two more times. The restricted and extended products were  
analyzed by gel and total DNA synthesis. 2  $\mu$ l of each  
sample tube was loaded onto a 0.5% alkaline agarose  
denaturing gel and run for overnight at 150 mA. A 1:10  
diluted stock of each sample tube was prepared and 2  $\mu$ l was  
35 used to spot onto DE81 Whatman paper, washed 3 times in

0.5M sodium phosphate, pH 7.3 and counted in a scintillation counter for total count analysis. Denatured, extended samples were used as controls. Results indicated that the extended product was restricted and the restricted fragments in turn acted as primers for the next round of extension. Since each molecule of M13mp18, (complement contains about 1760 adenine residues, incorporation of 9,000cpm/pmol  $^{32}\text{P}$ -dATP will result in complement product with specific activity of 16,000 cpm/fmol. Results (E=extension; R=restriction; D=heat denaturation)

Reaction	<u>cpm</u> <u>incorporated</u>	<u>fmols</u> <u>complement</u>	<u>fold</u> <u>increase</u>
E only	$6.4 \times 10^6$	400	4
15 E/R	$2 \times 10^7$	1,250	12.5
E/R/O/E	$2 \times 10^8$	12,500	125
E/R/D/E/R	$3 \times 10^8$	18,750	187.5
E/R/D/E/R/D/E	$2.2 \times 10^9$	137,500	1,375

These results indicate that 1,375 molecules of circle complement are formed for every original molecule of polynucleotide primer, or a 1,375 fold amplification over original target polynucleotide. Repeating the reaction under isothermal conditions at 50°C gave similar results.

#### EXAMPLE IX

##### 25 Extension of a 72-Base Single-Stranded Circle, and Restriction to Form Secondary Primers

A primer extension reaction was carried out as in Example VII except Taq DNA Polymerase (Cetus, Emeryville, CA) was used at 60°C instead of Klenow at 37°C. The extended product was brought to 5 mM in DTT and 1  $\mu\text{M}$  in a 38 base restriction linker (sequence: 5'-dTGACTATCCTGTAATAAAGATCAATGCGTCCGGTCTAC-NH<sub>2</sub>). The restriction linker is complementary to the circle complement and renders it double-stranded. 10 units of Hpa

II restriction endonuclease were added and the reaction mix was incubated 30 minutes at 37°C. The product was visualized by autoradiography. The circle complement was cleaved into 72 base fragments following this procedure.

- 5        Although the invention has been described with references to the presently preferred embodiment, it should be understood that various modifications can be made without departing from the spirit of the invention. Accordingly, the invention is limited only by the claims.

## WE CLAIM:

1. A process for generating multiple linear complements of a single strand, circular nucleic acid template containing at least one cleavage site comprising:

5

(a) combining the single strand, circular nucleic acid template with polynucleotide primers under conditions sufficient for hybridization; and

10

(b) extending the polynucleotide primer more than once around the circle to generate a complementary displacement product comprising more than one contiguous complement of the single strand, circular nucleic acid template.

2. A process for generating multiple linear complements of a single strand, circular nucleic acid template containing at least one cleavage site comprising:

- 5 (a) combining the single strand, circular nucleic acid template with polynucleotide primer under conditions sufficient for hybridization;
- 10 (b) extending the polynucleotide primer more than once around the circle to generate a complementary displacement product comprising more than one contiguous complement of the single strand, circular nucleic acid template;
- 15 (c) cleaving the displacement product to generate linear complements of the circle template;
- 20 (d) combining the single strand, circular template of step (a) with the linear complements produced in step (c) under conditions sufficient for hybridization; and
- 25 (e) repeating steps (b) through (d) at least once to generate linear complements of the circle template wherein the last repeated cycle ends at step (b) or (c).

3. The process of claim 2, wherein the circular template of step (a) is denatured prior to use in step (d).



4. The process of claim 2, wherein the product of step (c) is denatured prior to use in step (d).
5. The process of claim 1 or 2, wherein all steps are carried out under isothermal conditions.
6. The process of claim 1 or 2, wherein the polynucleotide primers comprise double-stranded nucleic acids, single-stranded nucleic acids or a mixture thereof.
7. The process of claim 6, wherein the nucleic acids are derived from a biological sample.
8. The process of claim 6, wherein the polynucleotide primers are double-stranded and their strands are separated by denaturing before or during step (a).
9. The process of claim 8, wherein the denaturing is thermal.
10. The process of claim 1 or 2, wherein the polynucleotide primers are synthetic oligonucleotides.
11. The process of claim 1 or 2, wherein the polynucleotide primers are selected so as to allow strand displacement synthesis by a polymerase.
12. The process of claim 1 or 2, wherein the 5' end of the polynucleotide primers are non-complementary to the circular template.
13. The process of claim 1 or 2, wherein the 5' end of the nucleotide primers are blocked.

14. A process of synthesizing a single strand, circular nucleic acid comprising:

- (a) chemically synthesizing a linear polynucleotide;
- 5 (b) combining the linear polynucleotide with a complementary linking oligonucleotide under conditions sufficient for hybridization; and
- 10 (c) ligating the linear polynucleotide to produce a single strand, circular nucleic acid.

15. The process of claim 14, wherein the circular nucleic acid is synthesized as two or more linear polynucleotides.

16. A single strand, circular nucleic acid, wherein the nucleic acid comprises between 30 and 2200 nucleotides.

17. The single strand, circular nucleic acid of claim 16, wherein the nucleic acid comprises between 30 and 750 nucleotides.

18. The single strand, circular nucleic acid of claim 17, wherein the nucleic acid comprises between 50 and 300 nucleotides.

19. The single strand, circular nucleic acid of claim 18, wherein the circular nucleic acid further comprises at least one cleavage site.

20. The single strand, circular nucleic acid of claim 19, wherein a portion of the circular nucleic acid is complementary to polynucleotide primers derived from a biological sample comprising double-stranded nucleic acids, single-stranded nucleic acids or a mixture thereof.

21. The process of claim 1 or 2, wherein the single strand, circular nucleic acid template is derived from phage replication.

22. The process of claim 1 or 2, wherein the single strand, circular nucleic acid template is between 50 and 2200 nucleotides.

23. The process of claim 1 or 2, wherein the single strand, circular nucleic acid template is made from chemically synthesized oligo- or poly-nucleotides.

24. The process of claim 23, wherein the single strand, circular nucleic acid template is between 50 and 750 nucleotides.

25. The process of claim 1 or 2, wherein the cleaving of the displacement product is achieved by one or more restriction endonucleases.

26. The process of claim 25, wherein the one or more restriction endonuclease sites on the single strand, circular nucleic acid template are capable of being modified so as to inhibit restriction of at least the modified strand.

27. The process of claim 25, wherein the restriction endonuclease site is Hpa II.

28. The process of claim 26, wherein the one or more restriction endonuclease sites are modified by methylation.

29. The process of claim 1 or 2, wherein the restriction polynucleotides are selected so that when restricted, a fragment of the restriction polynucleotide remains hybridized to the 5' end of the displacement product.

30. The process of claim 1 or 2, wherein the restriction polynucleotides are deoxyribonucleic acids and the 3' end is inhibitory to primer extension by a polymerase.

31. The process of claim 1 or 2, wherein the polymerase is selected from the group comprising E. coli DNA polymerase I, Klenow fragment, T4 DNA polymerase, T7 DNA polymerase, modified polymerases, reverse  
5 transcriptase, Taq polymerase, Bst polymerases or T. flavins polymerase.

32. A process for detecting multiple linear complements of a single strand, circular nucleic acid template containing at least one cleavage site comprising:

- 5                   (a) combining the single strand, circular nucleic acid template with polynucleotide primers under conditions sufficient for hybridization;
- 10                  (b) extending the polynucleotide primer more than once around the circle to generate a complementary displacement product comprising more than one contiguous complement of the single strand, circular nucleic acid template;
- (c) detecting the linear complements.

33. A process for detecting multiple linear complements of a single strand, circular nucleic acid template containing at least one cleavage site comprising:

- 5 (a) combining the single strand, circular nucleic acid template with polynucleotide primers under conditions sufficient for hybridization;
- 10 (b) extending the polynucleotide primer more than once around the circle to generate a complementary displacement product comprising more than one contiguous complement of the single strand, circular nucleic acid template;
- 15 (c) cleaving the displacement product to generate linear complements of the circle template;
- 20 (d) combining the single strand, circular template of step (a) with the linear complements produced in step (c) under conditions sufficient for hybridization;
- 25 (e) repeating steps (b) through (d) at least once to generate linear complements of the circle template, wherein the last repeated step ends at step (b) or (c); and
- (f) detecting the linear complements.

34. The process of claim 32 or 33, wherein the detecting step is by hybridization.

35. The process of claim 32 or 33, wherein the detecting step is by fluorescence.

36. The process of claim 32 or 33, wherein the polynucleotide primers comprise double-stranded nucleic acids, single-stranded nucleic acids or a mixture thereof.

37. The process of claim 36, wherein the nucleic acids are derived from a biological sample.

38. The displacement products produced by the process of claim 1 or 2.

39. The displacement products hybridized to the restriction polynucleotides produced by the process of claim 1 or 2.

40. The linear complements produced by the process of claim 1 or 2.

41. A product made with the product of claim 38 selected from the group consisting of DNA, RNA, polypeptide and antibody.

42. A product made with the product of claim 39 selected from the group consisting of DNA, RNA, polypeptide and antibody.

43. A product made with the product of claim 40 selected from the group consisting of DNA, RNA, polypeptide and antibody.

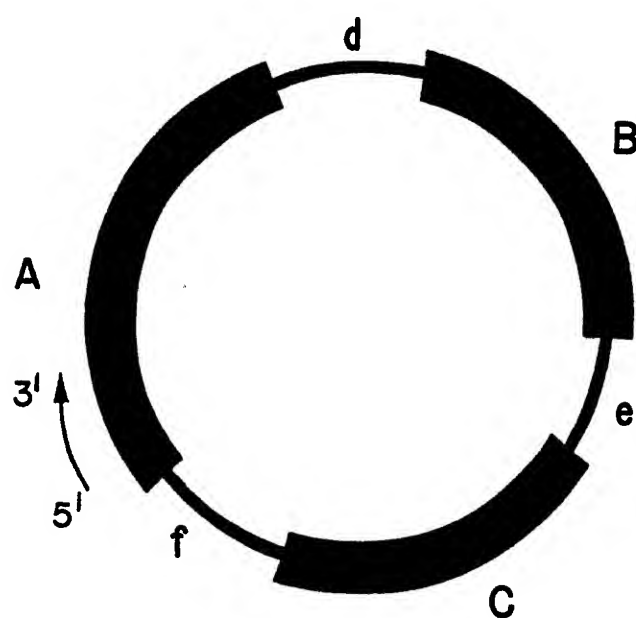
44. The process of claim 1 further comprising step (c) cleaving of the displacement product to generate linear complements of the circle template.

45. The process of claim 1 or 2, wherein the polynucleotide primers are affinity selected.

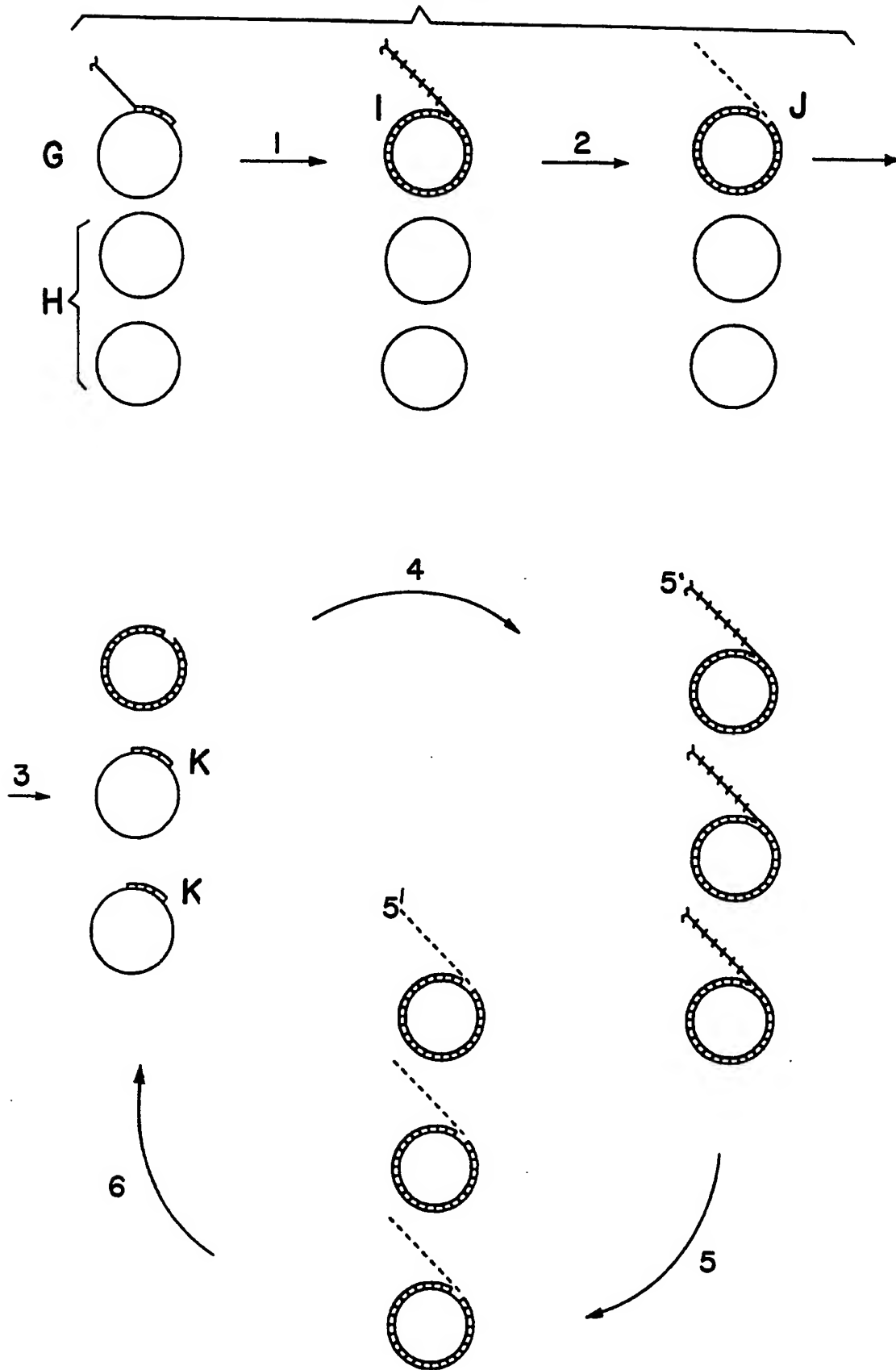


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FIG. 1



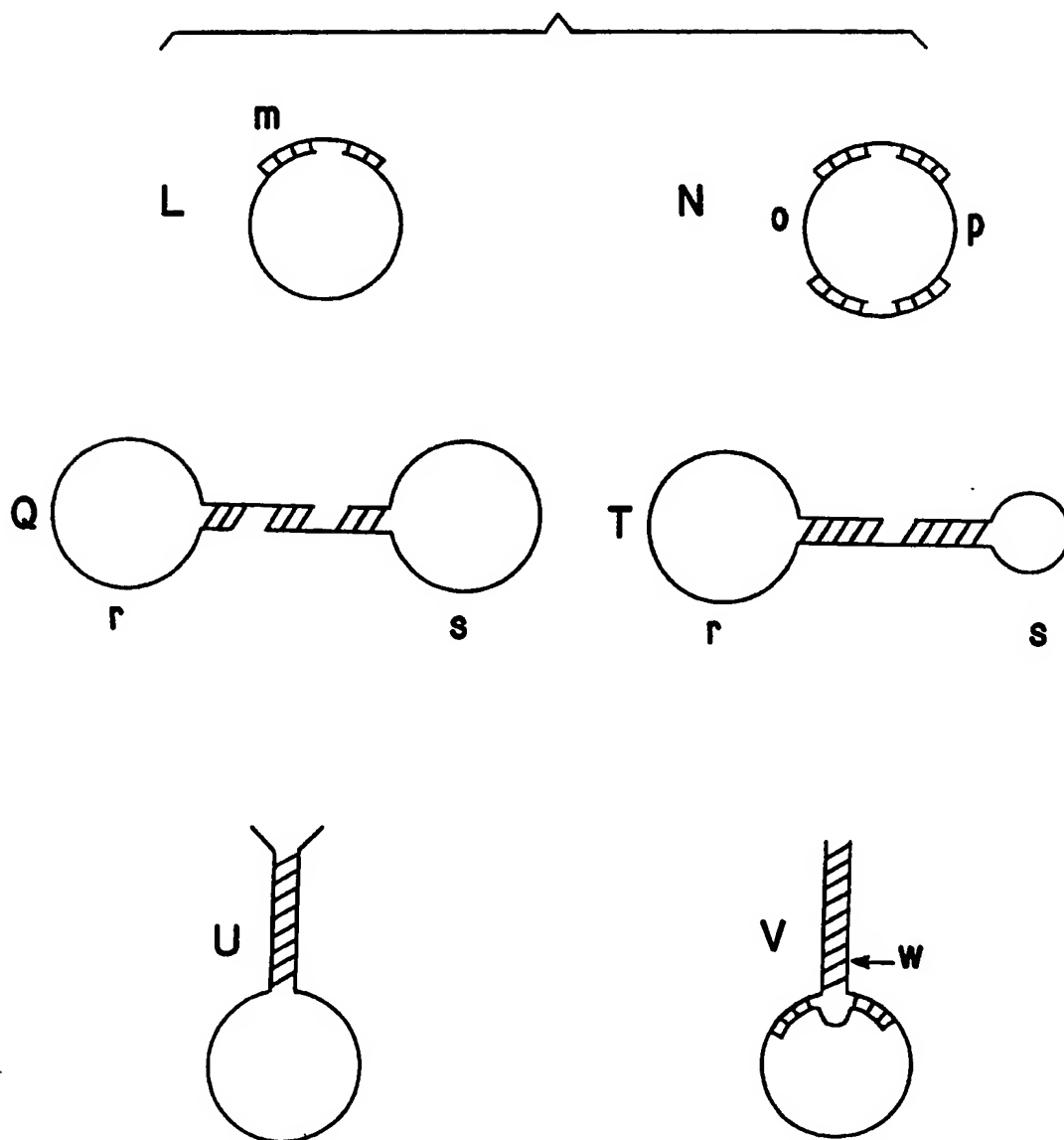
2 / 3  
**FIG. 2**



**SUBSTITUTE SHEET**

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FIG. 3



# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US91/05067

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup> According to International Patent Classification (IPC) or to both National Classification and IPC IPC(5): C12Q 1/68; C12P 19/34 U.S. CL.: 435/6, 91; 436/501; 935/16, 17, 78																	
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Minimum Documentation Searched <sup>7</sup></div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 30%; border-bottom: 1px solid black;">Classification System</th> <th style="border-bottom: 1px solid black;">Classification Symbols</th> </tr> <tr> <td style="padding: 5px;">U.S. CL.:</td> <td style="padding: 5px;">435/6, 91; 436/501; 935/16, 17, 78</td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup></div> <p style="padding: 5px;">APS and DIALOG databases were search</p>			Classification System	Classification Symbols	U.S. CL.:	435/6, 91; 436/501; 935/16, 17, 78											
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<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup></b> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 10%; border-bottom: 1px solid black;">Category <sup>10</sup></th> <th style="width: 70%; border-bottom: 1px solid black;">Citations of Documents <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup></th> <th style="width: 20%; border-bottom: 1px solid black;">Relevant to Claim No. <sup>13</sup></th> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">Y</td> <td style="padding: 5px;">Watson, "Molecular Biology of the Gene", 3rd ed., published N.W. Benjamin, Inc., p. 238-241. See entire document.</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-45</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">Y</td> <td style="padding: 5px;">BIOSIS Abstract No. 69057578, issued 1979, "Bacteria Phage.... Microscopy" see abstract J. Mol. Biol. 125(1) 69-90, KEEGSTRA ET AL, see the abstract.</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-45</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">Y</td> <td style="padding: 5px;">BIOSIS Abstract No. 70030184, issued 1980, "The Rolling Circle...Assembly", see abstract, KOTHS et al., J. Biol. Chem. 255(9), 4328-4338.</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-45</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">Y</td> <td style="padding: 5px;">BIOSIS Abstract No. 80067350, issued 1985, "The complete 30-Base-Pair... Packaging", see abstract, Eur. J. Biochem. 149 (3), 579-584, FLUIT et al.</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1-45</td> </tr> </table>			Category <sup>10</sup>	Citations of Documents <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>	Y	Watson, "Molecular Biology of the Gene", 3rd ed., published N.W. Benjamin, Inc., p. 238-241. See entire document.	1-45	Y	BIOSIS Abstract No. 69057578, issued 1979, "Bacteria Phage.... Microscopy" see abstract J. Mol. Biol. 125(1) 69-90, KEEGSTRA ET AL, see the abstract.	1-45	Y	BIOSIS Abstract No. 70030184, issued 1980, "The Rolling Circle...Assembly", see abstract, KOTHS et al., J. Biol. Chem. 255(9), 4328-4338.	1-45	Y	BIOSIS Abstract No. 80067350, issued 1985, "The complete 30-Base-Pair... Packaging", see abstract, Eur. J. Biochem. 149 (3), 579-584, FLUIT et al.	1-45
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<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>10</sup> Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> </div> </div>																	
<b>IV. CERTIFICATION</b> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 5px;">           Date of the Actual Completion of the International Search            27 November 1991         </td> <td style="width: 50%; padding: 5px;">           Date of Mailing of this International Search Report  <div style="font-size: 1.5em; font-weight: bold;">16 DEC 1991</div> </td> </tr> <tr> <td style="padding: 5px;">           International Searching Authority  <div style="text-align: center; margin-top: 10px;">ISA/US</div> </td> <td style="padding: 5px;">           Signature of Authorized Officer  <div style="text-align: center; margin-top: 10px;">              Amelia B. Yarbrough           </div> </td> </tr> </table>			Date of the Actual Completion of the International Search 27 November 1991	Date of Mailing of this International Search Report <div style="font-size: 1.5em; font-weight: bold;">16 DEC 1991</div>	International Searching Authority <div style="text-align: center; margin-top: 10px;">ISA/US</div>	Signature of Authorized Officer <div style="text-align: center; margin-top: 10px;">              Amelia B. Yarbrough           </div>											
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III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
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Y	MEDLINE Abstract No. 87137459, issued 1987, MOK et al, "Formulation of rolling circle.... replication" see abstract, J. Biol. Chem., 262(5), 2304-2309.	1-45
Y	Nucleic Acids Research, Vol. 18, No. 9 issued 24 May 1990, CAPOBIANCO ET AL., "One pot solution synthesis of cyclic oligonucleotides", p. 2661-2669. See "cyclization reaction".	14-15
Y	Journal of Bacteriology, vol. 171, No. 6, issued June 1989, SHAVITT ET AL. "Rolling-Circle Replication of UV-Irradiated Duplex DNA in the X174 Replicative-Form Single-Strand System in Vitro", p. 3530-3533, see entire document.	1-45